



No: 38/GPĐC-UBCK

Hanoi, March 25th, 2026

AMENDMENT LICENSE
ESTABLISHMENT AND SECURITIES BUSINESS OPERATION LICENSE

CHAIRMAN OF THE STATE SECURITIES COMMISSION

Pursuant to the Law on Securities No. 54/2019/QH14 dated 26 November 2019, as amended and supplemented by Law No. 56/2024/QH15 dated 29 November 2024;

Pursuant to Decree No. 155/2020/ND-CP dated 31 December 2020 of the Government detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented by Decree No. 245/2025/ND-CP dated 11 September 2025;

Pursuant to Decision No. 686/QĐ-BTC dated 28 February 2025 of the Minister of Finance defining the functions, tasks and powers of the State Securities Commission;

Pursuant to the License for Establishment and Operation No. 62/UBCK-GP dated 30 October 2007 issued by the Chairman of the State Securities Commission to Dai Nam Securities Joint Stock Company (now DNSE Securities Joint Stock Company);

Pursuant to the application dossier for adjustment of charter capital of DNSE Securities Joint Stock Company;

Pursuant to the proposal of the Head of the Securities Business Management Department.

DECIDED:

Article 1. To adjust the License for Establishment and Operation No. 62/UBCK-GP dated 30 October 2007 issued by the Chairman of the State Securities Commission to DNSE Securities Joint Stock Company as follows:

Charter capital: VND 4.282.498.060.000 (Four thousand, two hundred eighty-two billion, four hundred ninety-eight million, sixty thousand dong).

Article 2. This Amendment License shall take effect from the date of signing and shall constitute an integral part of the License for Establishment and Operation No. 62/UBCK-GP

dated 30 October 2007 issued by the Chairman of the State Securities Commission to DNSE Securities Joint Stock Company.

Article 3. This Amendment License is made in two (02) originals: one (01) original issued to DNSE Securities Joint Stock Company and one (01) original kept at the State Securities Commission./.

ON BEHALF OF THE CHAIRMAN

VICE CHAIRMAN

(Signed and Sealed)

Le Thi Viet Nga